

**2019 Consolidated Annual Performance and Evaluation Report (CAPER)**

DRAFT

**Goals and Outcome Table for the 2019 CAPER:**

| <b>Goal</b>                            | <b>Source / Amount</b> | <b>Unit of Measure</b>         | <b>Expected – Program Year</b> | <b>Actual – Program Year</b> |
|--|------------------------|--------------------------------|--------------------------------|------------------------------|
| Economic & Employment Opportunities    | CDBG                   | Number of Individuals Assisted | 135                            | 137                          |
| Essential Needs: Food, Shelter, Safety | CDBG/ HOME/ ESG        | Number of Individuals assisted | 1930                           | 3878                         |
| Quality Affordable Housing Options     | CDBG / HOME            | Households Assisted            | 49                             | 131                          |
| Strengthening Neighborhoods            | CDBG/ HOME/ ESG        | Buildings Demolished           | 6                              | 10                           |

**SUMMARY: 2019 Consolidated Annual Performance and Evaluation Report (CAPER)**

The CAPER is a report on accomplishments of the projects and programs, approved and undertaken in the 2019-2020 HUD Annual Action Plan, using CDBG, ESG and HOME funds. HUD requires an annual CAPER, due after the close of the Federal Fiscal year. Due to COVID-19, a 180-day extension for submission has been granted.

The City of Schenectady was impacted by COVID-19 beginning in March 2020, the last quarter of the 2019 CDGB, ESG, and HOME program year. City Hall was closed to the public and many organizations throughout the City closed their doors to await further instruction on how to avoid spreading the virus and began moving programming to a virtual format.

Notwithstanding, the 2019 program year was spent holding public comment meetings to discuss the 2020-2024 Consolidated Plan. The public meeting discussions included a focus group and several community meetings to get input for the next 5 years for CDBG, ESG, and HOME funds. Four priorities were identified: Housing, Workforce Development, and Youth Programs, and Public Infrastructure.

The merger of Community Land Trust and Better Neighborhoods, Inc (BNI) into Better Community Neighborhoods, Inc. (BCNI) was underway with a focus on increasing capacity to address affordable housing issues. Creating affordable housing and the rehabilitation of existing properties to assist low-to-moderate income households remains a focus. The City of Schenectady has more than 50 percent of its housing stock that is over 100 years old, so the newly created merger of Better Community Neighborhoods, Inc. role will be well served in the City of Schenectady.

The City's Affirmative Action Office (AAO) is busy at work creating partnerships with local unions, microenterprises, trade associations, community colleges, and banks to create job opportunities throughout the City. The City of Schenectady also has a construction skills training program that provides free training that includes OSHA and NCCER certifications. The training program is held in conjunction with SUNY Schenectady County Community College and participants are linked with the college's Career Center to find employment once training has been completed. The AAO office held several community workshops to engage small businesses in technical assistance and conducting business with the City of Schenectady. The AAO is working to develop an Empowerment Center that will address business needs, provide technical assistance, and ensure that Section 3 requirements are met throughout the City, giving low-income individuals opportunity for employment.

There are currently over 1,000 youth being served by Hamilton Hill Arts Center, Boys & Girls Club, Peaceful Warriors, Big Brothers Big Sisters, Still I Rise-Working Group on Girls, Schenectady Job Training Agency and the YMCA. These organizations prepare the

City's youth for many different roles, from teaching job training skills to leadership skills. They target youth who are low-income and many of the programs are located within the NRSA.

In addition, public meetings and neighborhood surveying was conducted for the Craig-Main Connection project. The purpose of the Craig-Main Complete Streets Study was to work with Hamilton Hill and Mont Pleasant Neighborhoods to identify a complete street design to better serve the neighborhoods through creation of a safer, efficient, and more inviting corridor that takes into consideration the needs of all travelers, including pedestrians, cyclists, transit riders and motorists.

The City of Schenectady partnered with the Schenectady Foundation for the Thriving Neighborhoods II Challenge. The Thriving Neighborhood projects are proposed by city residents and community organizations to improve neighborhood conditions. There were 9 applications in the final round to be considered for funding. The projects include park improvements, streetscape improvements and public art, such as creative banners across the Craig-Main bridge that children cross to go to school and the Hamilton Hill Arts Center Sculpture Park.

DRAFT

**Goals and Outcome Table for the 2019 CAPER:**

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)**

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

| Goal                                | Category                          | Source / Amount | Indicator  | Unit of Measure     | Expected – Strategic Plan | Actual – Strategic Plan | Percent Complete | Expected – Program Year | Actual – Program Year | Percent Complete |
|-------------------------------------|-----------------------------------|-----------------|--|---------------------|---------------------------|-------------------------|------------------|-------------------------|-----------------------|------------------|
| Economic & Employment Opportunities | Non-Housing Community Development | CDBG: \$        | Public service activities other than Low/Moderate Income Housing Benefit | Persons Assisted    | 0                         | 0                       |                  | 60                      | 61                    | 101.67%          |
| Economic & Employment Opportunities | Non-Housing Community Development | CDBG: \$        | Jobs created/retained  | Jobs                | 100                       | 30                      | 30.00%           | 15                      | 15                    | 100.00%          |
| Economic & Employment Opportunities | Non-Housing Community Development | CDBG: \$        | Businesses assisted  | Businesses Assisted | 15                        | 60                      | 400.00%          |                         |                       |                  |
| Economic & Employment Opportunities | Non-Housing Community Development | CDBG: \$        | Other  | Other               | 500                       | 0                       | 0.00%            | 60                      | 61                    | 101.67%          |

|   |   |   |   |                        |      |      |         |      |      |         |
|---|---|---|---|------------------------|------|------|---------|------|------|---------|
| Essential Needs:<br>Food,Shelter,Safety | Homeless<br>Non-<br>Homeless<br>Special<br>Needs<br>Non-Housing<br>Community<br>Development<br>Food<br>Security | CDBG:<br>\$/<br>HOME:<br>\$/<br>ESG: \$ | Public Facility or<br>Infrastructure Activities<br>other than<br>Low/Moderate Income<br>Housing Benefit | Persons<br>Assisted    | 5000 | 6890 | 137.80% |      |      |         |
| Essential Needs:<br>Food,Shelter,Safety | Homeless<br>Non-<br>Homeless<br>Special<br>Needs<br>Non-Housing<br>Community<br>Development<br>Food<br>Security | CDBG:<br>\$/<br>HOME:<br>\$/<br>ESG: \$ | Public service activities<br>other than<br>Low/Moderate Income<br>Housing Benefit                       | Persons<br>Assisted    | 0    | 20   |         | 1340 | 3533 | 263.66% |
| Essential Needs:<br>Food,Shelter,Safety | Homeless<br>Non-<br>Homeless<br>Special<br>Needs<br>Non-Housing<br>Community<br>Development<br>Food<br>Security | CDBG:<br>\$/<br>HOME:<br>\$/<br>ESG: \$ | Public service activities<br>for Low/Moderate<br>Income Housing Benefit                                 | Households<br>Assisted | 0    | 0    |         | 300  | 325  | 108.33% |

|   |   |   |   |                              |     |     |         |     |    |        |
|---|---|---|---|------------------------------|-----|-----|---------|-----|----|--------|
| Essential Needs:<br>Food,Shelter,Safety | Homeless<br>Non-<br>Homeless<br>Special<br>Needs<br>Non-Housing<br>Community<br>Development<br>Food<br>Security | CDBG:<br>\$/<br>HOME:<br>\$/<br>ESG: \$ | Homelessness<br>Prevention                              | Persons<br>Assisted          | 500 | 610 | 122.00% | 175 | 0  | 0.00%  |
| Essential Needs:<br>Food,Shelter,Safety | Homeless<br>Non-<br>Homeless<br>Special<br>Needs<br>Non-Housing<br>Community<br>Development<br>Food<br>Security | CDBG:<br>\$/<br>HOME:<br>\$/<br>ESG: \$ | Housing Code<br>Enforcement/Foreclosed<br>Property Care | Household<br>Housing<br>Unit | 0   | 0   |         | 25  | 20 | 80.00% |
| Essential Needs:<br>Food,Shelter,Safety | Homeless<br>Non-<br>Homeless<br>Special<br>Needs<br>Non-Housing<br>Community<br>Development<br>Food<br>Security | CDBG:<br>\$/<br>HOME:<br>\$/<br>ESG: \$ | Other   | Other                        | 525 | 686 | 130.67% |     |    |        |

|                                    |                    |                     |   |                        |    |    |         |     |    |         |
|------------------------------------|--------------------|---------------------|---|------------------------|----|----|---------|-----|----|---------|
| Quality Affordable Housing Options | Affordable Housing | CDBG: \$ / HOME: \$ | Homeowner Housing Added                           | Household Housing Unit | 50 | 67 | 134.00% | 0   | 24 |         |
| Quality Affordable Housing Options | Affordable Housing | CDBG: \$ / HOME: \$ | Homeowner Housing Rehabilitated                   | Household Housing Unit | 10 | 16 | 160.00% | 7   | 16 | 228.57% |
| Quality Affordable Housing Options | Affordable Housing | CDBG: \$ / HOME: \$ | Direct Financial Assistance to Homebuyers         | Households Assisted    | 0  | 0  |         | 15  | 16 | 106.67% |
| Quality Affordable Housing Options | Affordable Housing | CDBG: \$ / HOME: \$ | Tenant-based rental assistance / Rapid Rehousing  | Households Assisted    | 0  | 60 |         | 0   | 75 |         |
| Quality Affordable Housing Options | Affordable Housing | CDBG: \$ / HOME: \$ | Buildings Demolished                              | Buildings              | 60 | 10 | 16.67%  |     |    |         |
| Quality Affordable Housing Options | Affordable Housing | CDBG: \$ / HOME: \$ | Housing Code Enforcement/Foreclosed Property Care | Household Housing Unit | 60 | 75 | 125.00% |     |    |         |
| Quality Affordable Housing Options | Affordable Housing | CDBG: \$ / HOME: \$ | Other   | Other                  | 0  | 0  |         | 125 | 0  | 0.00%   |



|                             |  |                                     |   |                        |      |      |         |      |    |         |
|-----------------------------|--|-------------------------------------|---|------------------------|------|------|---------|------|----|---------|
| Strengthening Neighborhoods | Affordable Housing Non-Housing Community Development | CDBG: \$ / HOME: \$68000 / ESG: \$0 | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | Persons Assisted       | 1000 | 1000 | 100.00% | 1140 | 0  | 0.00%   |
| Strengthening Neighborhoods | Affordable Housing Non-Housing Community Development | CDBG: \$ / HOME: \$68000 / ESG: \$0 | Buildings Demolished  | Buildings              | 25   | 10   | 40.00%  | 6    | 10 | 166.67% |
| Strengthening Neighborhoods | Affordable Housing Non-Housing Community Development | CDBG: \$ / HOME: \$68000 / ESG: \$0 | Housing Code Enforcement/Foreclosed Property Care   | Household Housing Unit | 25   | 75   | 300.00% | 300  | 0  | 0.00%   |
| Strengthening Neighborhoods | Affordable Housing Non-Housing Community Development | CDBG: \$ / HOME: \$68000 / ESG: \$0 | Other   | Other                  | 0    | 0    |         | 302  | 0  | 0.00%   |

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

The City's use of HUD dollars aligns with the goals and objectives in the new 2020-2024 Consolidated Five Year Plan. Through the use of monitoring and quarterly reporting, the City is able to assist subrecipients as necessary with achieving reasonable goals and objectives as well as ensure that they are meeting the expectations laid out through the Request for Proposal (RFP) and contract process. The City is hopeful that continuing to use IDIS, HUD training and reformatting the City's policies, procedures, forms and technical assistance/oversight with subreipients, we will continue to see more "actual" results matching those that are expected.

DRAFT

**CR-10 - Racial and Ethnic composition of families assisted**

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

|   | CDBG      | HOME       | ESG      |
|---|-----------|------------|----------|
| White                                     | 9         | 77         | 0        |
| Black or African American                 | 11        | 144        | 0        |
| Asian                                     | 0         | 15         | 0        |
| American Indian or American Native        | 0         | 0          | 0        |
| Native Hawaiian or Other Pacific Islander | 0         | 1          | 0        |
| <b>Total</b>                              | <b>20</b> | <b>237</b> | <b>0</b> |
| Hispanic                                  | 0         | 18         | 0        |
| Not Hispanic                              | 20        | 219        | 0        |

Table 2 – Table of assistance to racial and ethnic populations by source of funds

**CR-15 - Resources and Investments 91.520(a)**

Identify the resources made available

| Source of Funds | Source           | Resources Made Available | Amount Expended During Program Year |
|-----------------|------------------|--------------------------|-------------------------------------|
| CDBG            | public - federal | 2,217,193                | 2,445,930                           |
| HOME            | public - federal | 1,015,556                | 1,031,478                           |
| ESG             | public - federal | 189,952                  | 157,136                             |

Table 3 - Resources Made Available

Identify the geographic distribution and location of investments

| Target Area         | Planned Percentage of Allocation | Actual Percentage of Allocation | Narrative Description |
|---------------------|----------------------------------|---------------------------------|-----------------------|
| CITY OF SCHENECTADY | 100                              |                                 |                       |

Table 4 – Identify the geographic distribution and location of investments

## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

The City's HOME partners continue to leverage additional resources to supplement the Federal funds received through the City's entitlement grant program. These funds include: non-Federal sources, the value of real property, site preparation, construction materials, donated labor, foregone taxes, fees and charges.

| <b>Fiscal Year Summary – HOME Match</b>  |            |
|--|------------|
| 1. Excess match from prior Federal fiscal year                                 | 24,887,808 |
| 2. Match contributed during current Federal fiscal year                        | 1,055,479  |
| 3. Total match available for current Federal fiscal year (Line 1 plus Line 2)  | 25,943,287 |
| 4. Match liability for current Federal fiscal year                             | 0          |
| 5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4) | 25,943,287 |

**Table 5 – Fiscal Year Summary - HOME Match Report**

| Match Contribution for the Federal Fiscal Year |                      |                            |                               |                              |                         |   |                |             |
|--|----------------------|----------------------------|-------------------------------|------------------------------|-------------------------|---|----------------|-------------|
| Project No. or Other ID                        | Date of Contribution | Cash (non-Federal sources) | Foregone Taxes, Fees, Charges | Appraised Land/Real Property | Required Infrastructure | Site Preparation, Construction Materials, Donated labor | Bond Financing | Total Match |
| Bethesda House                                 | 06/01/2019           | 3,550                      | 0                             | 0                            | 0                       | 0   | 0              | 3,550       |
| City of Troy                                   | 06/01/2019           | 379,356                    | 0                             | 0                            | 0                       | 46,608  | 0              | 425,964     |
| Community Land Trust                           | 06/01/2019           | 3,902                      | 0                             | 0                            | 0                       | 0   | 0              | 3,902       |
| HFHSC  | 06/01/2019           | 554,627                    | 3,200                         | 0                            | 20,000                  | 31,174  | 0              | 609,001     |
| SHDFC  | 06/01/2019           | 0                          | 0                             | 0                            | 0                       | 0   | 0              | 0           |
| Town of Colonie                                | 06/01/2019           | 13,062                     | 0                             | 0                            | 0                       | 0   | 0              | 13,062      |

Table 6 – Match Contribution for the Federal Fiscal Year

**HOME MBE/WBE report** (waiting on final reports from Subreipients)

| Program Income – Enter the program amounts for the reporting period |   |   |                                |  |
|---|---|---|--------------------------------|--|
| Balance on hand at begin-ning of reporting period<br>\$             | Amount received during reporting period<br>\$ | Total amount expended during reporting period<br>\$ | Amount expended for TBRA<br>\$ | Balance on hand at end of reporting period<br>\$ |
| 0   | 0   | 0   | 0                              | 0  |

Table 7 – Program Income

| <b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b> |       |                                   |                           |                    |          |                    |
|---|-------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
|   | Total | Minority Business Enterprises     |                           |                    |          | White Non-Hispanic |
|   |       | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| <b>Contracts</b>  |       |                                   |                           |                    |          |                    |
| Dollar Amount   | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |
| Number  | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |
| <b>Sub-Contracts</b>  |       |                                   |                           |                    |          |                    |
| Number  | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |
| Dollar Amount   | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |
|   | Total | Women Business Enterprises        | Male                      |                    |          |                    |
| <b>Contracts</b>  |       |                                   |                           |                    |          |                    |
| Dollar Amount   | 0     | 0                                 | 0                         |                    |          |                    |
| Number  | 0     | 0                                 | 0                         |                    |          |                    |
| <b>Sub-Contracts</b>  |       |                                   |                           |                    |          |                    |
| Number  | 0     | 0                                 | 0                         |                    |          |                    |
| Dollar Amount   | 0     | 0                                 | 0                         |                    |          |                    |

**Table 8 - Minority Business and Women Business Enterprises**

| <b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b> |       |                                   |                           |                    |          |                    |
|--|-------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
|  | Total | Minority Property Owners          |                           |                    |          | White Non-Hispanic |
|  |       | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| Number   | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |
| Dollar Amount  | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |

**Table 9 – Minority Owners of Rental Property**

| <b>Relocation and Real Property Acquisition</b> – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition |       |                                   |                           |                    |          |                    |
|--|-------|-----------------------------------|---------------------------|--------------------|----------|--------------------|
| Parcels Acquired   |       | 0                                 | 0                         |                    |          |                    |
| Businesses Displaced   |       | 0                                 | 0                         |                    |          |                    |
| Nonprofit Organizations Displaced  |       | 0                                 | 0                         |                    |          |                    |
| Households Temporarily Relocated, not Displaced  |       | 0                                 | 0                         |                    |          |                    |
| Households Displaced   | Total | Minority Property Enterprises     |                           |                    |          | White Non-Hispanic |
|  |       | Alaskan Native or American Indian | Asian or Pacific Islander | Black Non-Hispanic | Hispanic |                    |
| Number   | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |
| Cost   | 0     | 0                                 | 0                         | 0                  | 0        | 0                  |

**Table 10 – Relocation and Real Property Acquisition**

DRAFT

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

|  | One-Year Goal | Actual     |
|--|---------------|------------|
| Number of Homeless households to be provided affordable housing units      | 503           | 491        |
| Number of Non-Homeless households to be provided affordable housing units  | 58            | 49         |
| Number of Special-Needs households to be provided affordable housing units | 3             | 0          |
| <b>Total</b>   | <b>564</b>    | <b>540</b> |

Table 11 – Number of Households

|  | One-Year Goal | Actual     |
|--|---------------|------------|
| Number of households supported through Rental Assistance             | 97            | 101        |
| Number of households supported through The Production of New Units   | 1             | 1          |
| Number of households supported through Rehab of Existing Units       | 10            | 6          |
| Number of households supported through Acquisition of Existing Units | 2             | 0          |
| <b>Total</b>   | <b>110</b>    | <b>108</b> |

Table 12 – Number of Households Supported

### Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

As mentioned in CR-05, the discrepancy between goals and outcomes is based on the original entry of goals in IDIS. The reporting that is presented is accurate per HUD regulations and requirements.

### Discuss how these outcomes will impact future annual action plans.

We anticipate that during future reporting the differences between the goals and outcomes will be minimal. The staff will be better equipped, through training, to identify expected outcomes, programmatic problems and equipped to finding solutions. This will make us focus more on



upfront activities input into IDIS. Therefore, reporting of goals and outcomes will be more accurate when executed by the City.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

| <b>Number of Households Served</b> | <b>CDBG Actual</b> | <b>HOME Actual</b> |
|------------------------------------|--------------------|--------------------|
| Extremely Low-income               | 182                | 31                 |
| Low-income                         | 37                 | 11                 |
| Moderate-income                    | 18                 | 22                 |
| <b>Total</b>                       | <b>237</b>         | <b>64</b>          |

**Table 13 – Number of Households Served**

### **Narrative Information**

As indicated in the chart, both CDBG and HOME dollars are primarily being used to assist those of extremely low-income in our community.

DRAFT

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Schenectady County's Continuum of Care (CoC), which includes the City of Schenectady, has made progress in meeting stated objectives for reducing and ending homelessness. The Schenectady CoC has developed several strategies and action steps to reach out to homeless persons and assess individual needs. Current strategies include: the continued support of the ESG funded Bethesda House Drop-in Center, the CoC and ESG funded youth outreach program, Project Safe operated by SAFE, Inc., and the development and implementation of the Coordinated Entry system. Bethesda House's Day Shelter Drop-in Center for the homeless provides the primary point of contact and entry into the CoC for the chronically homeless in Schenectady. It provides a variety of unique support services for this sub-population including: showers, laundry, phone/fax/copier, mailboxes, daily meal, and clothing. Bethesda House continues to expand the type and scope of services it offers at its Community Center/Day Shelter, which is located at the agency's facility on State Street in Schenectady. Project Safe serves 80 youth annually who have a diagnosis of homelessness, substance abuse and/or mental health disorders. The individuals in the program are provided with advocacy to ensure that they find and remain in safe permanent housing. These individuals are also provided with supportive services to ensure that they are able to increase their skills and/or income to help them become more self-sufficient.

Schenectady County CoC's Coordinated Entry (CE), which is currently in the first stages of implementation, includes key stakeholders in developing and operating a "No Wrong Door" system. The system reaches homeless individuals and families least likely to access the process through street outreach and the drop-in center. The CE process ensures participants are directed to appropriate housing/services where participants fill out a community developed assessment upon presenting at any of the 9 participating programs. The Point of Entry (POE) agency sends these assessments to CE Facilitator and 3 different agencies who could provide appropriate housing options based on: eligibility, expressed client-choice, and bed openings. Participants are prioritized on a master community list based on chronicity of homelessness and severity of service needs. Biweekly case conferencing ensures prioritization based on vulnerability and appropriate placement based on service needs. Agencies follow a low-barrier model per the CoC written standards.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The CoC has made significant progress in and continues to address emergency shelter and transitional housing needs of homeless persons. Currently the CoC provides the following shelter services and transitional housing:

Emergency Housing:

- City Mission of Schenectady – Provides 106 beds: 82 for single men and 24 for women/children;
- YWCA – Provides a total of 20 beds for victims of domestic violence;
- Bethesda House – Provides 3 beds for veterans;
- SAFE Inc. – Provides 13 beds for youth;
- Department of Social Services – Provides hotel/motel vouchers for singles and families.

Transitional Housing:

- Schenectady Community Action Program (Sojourn House) – Provides 21 beds for women with children;
- City Mission of Schenectady – Provides 20 beds for single men/women.

These programs have been extremely successful at rapidly rehousing clients/providing transitional services due to the increased collaboration between key stakeholder such as the Schenectady Municipal Housing Authority (SMHA) and The Community Builders (an active affordable housing developer).

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The CoC continues to make progress helping low-income individuals and families avoid becoming homeless. The CoC focuses on providing services for extremely low-income households who are likely to become homeless after discharge from institutions and systems of care by collaborating with providers to identify risk factors for becoming homeless. Specifically, the CoC fact-finds with: mainstream providers, to identify those being discharged into homelessness and identify why. The CoC also works with general assistance providers to discover situations where people are being criminalized as well as with prevention assistance providers, SCAP and Legal Aid, to determine reasons why households need assistance. Specific steps the CoC has taken to help low-income households from becoming homeless for the first time include working with the City of Schenectady and prevention assistance providers to coordinate shelter intake via CE process, utilizing ESG funds to divert/prevent homelessness, and using a diversion/prevention assessment process for households who present at DSS.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals**

**and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The Schenectady County Homeless Services Planning Board (HSPB) is helping chronically homeless households, families with children, veterans and unaccompanied youth make the transition to permanent housing and independent living by ensuring shorter periods of homeless through the use of coordinated entry, and by facilitating access to affordable housing units by working with the SMHA. The CoC has implemented strategies to identify and minimize returns to homelessness. These strategies continue to work because of current collaboration between CoC funded agencies, the City of Schenectady and NYS Office of Temporary and Disability Assistance to utilize ESG funding to identify and minimize the number of households who may return to homelessness. The CoC utilizes programs, such as eviction prevention representation (Legal Aid) and case management. The CoC continues to work on improving client access to mainstream benefits. The CoC runs quarterly reports from HMIS to monitor/record returns to homelessness. All CoC/ESG programs conduct follow up w/clients to reduce additional episodes of homelessness, which are recorded in HMIS. Additionally,

HMIS produces an annual systems performance report, allowing the community to track their progress as a system, rather than individually, to better identify gaps to fill.

DRAFT

## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

The Schenectady Municipal Housing Authority anticipates 100% construction completion in January 2021 for its Yates Village Phase 1 affordable housing project. Residential buildings will be coming on-line from October 2020 through January 2021. Yates Village Phase 2, which will result in 211 new and rehabilitated affordable units, is expected to have a financial closing and start of construction in the spring of 2021. This is the current portfolio unit count by program:

Public Housing: 943

Low Income Housing Tax Credit: 89

Section 8 Housing Choice Voucher Program: 1358

Section 8 Non-Elderly Disabled Voucher Program: 100

Section 8 Veterans Affairs Supportive Housing Program: 10

Moderate Rehabilitation/Single Room Occupancy Program: 49

Shelter Plus Care Program: 46

Seven properties is still accurate because two-thirds of Yates Village will remain as Public Housing Phase 2 is completed.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

SMHA has two methods to ensure that public housing residents have an effective role in the management of the public housing program. The first is through their Resident Advisory Board, which consists of public housing residents who assist SMHA in developing its annual and five year plans, and in making any significant amendment or modification to the plans. The second method is through the participation of two public housing residents on the SMHA Board of Commissioners, the agency's policy and oversight Board that represents all public housing residents.

### **Actions taken to provide assistance to troubled PHAs**

SMHA has two methods to ensure that public housing residents have an effective role in the management of the public housing program. The first is through their Resident Advisory Board, which consists of public housing residents who assist SMHA in developing its annual and five year plans, and in making any significant amendment or modification to the plans. The second method is through the

participation of two public housing residents on the SMHA Board of Commissioners, the agency's policy and oversight Board that represents all public housing residents.

The City supports SMHA's efforts to create homeownership opportunities for its public housing families. The City's financial support of the Habitat for Humanity program has allowed families to move out of public housing and into their own home and public housing families have been assisted by the City's First Time Home Buyer's Program.

DRAFT

### **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

Not Applicable

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The City continues to request RFPs from qualified subrecipients throughout the City to assist in reducing communitywide needs.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

The City continues to request RFPs from qualified subrecipients throughout the City to assist in reducing communitywide needs. As well as a coordinated effort with Schenectady County in the Lead Remediation Program, which just finished a three year grant program.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

The City works as a strong, collaborative partner with the County and our vast array of social service providers to ensure that families in need are provided with opportunities to have their needs met through various means. Though reducing the number of poverty-level families is not directly attributable to HUD-funded programs, the City does fund many programs which provide services and opportunities to reduce the poverty-level of those families assisted.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

The City of Schenectady's Department of Development has been working very diligently to refine policies and procedures to make the monitoring and reporting of these programs more productive for future projects.

### **Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

The City's Department of Development has recognized the need for coordination between housing providers and continues to meet quarterly with partners to talk about mutually beneficial goals and project delivery. Similarly, the Department of Development has also recognized the close relationship that social service agencies have with housing and maintaining safe, quality, affordable housing for our community's resident.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

Actions to Address Impediments as demonstrated in an updated 2020 Analysis of Impediments to Fair Housing report, the City of Schenectady continues to be proactive in attempting to address impediments to Fair Housing and to educate the public about housing related discrimination. The City of Schenectady's actions to address impediments have included: the use of federal, state and local funding to significantly impact the availability of quality affordable housing for all residents; outreach, education, counseling and fair housing advocacy; lead hazard control measures in the aging housing stock; and coordinated homeownership opportunity initiatives utilizing numerous public-private partnerships. Recommendations have been made as a result of this analysis to continue these actions and build upon these efforts.

DRAFT



## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

All subrecipients are monitored for compliance with goals and objectives of the Consolidated Plan and the Annual Action Plans. Standard monitoring procedures were set up for consistency between organizations/activities as they are monitored. These procedures start with review of the RFP, Contract, and Quarterly reports of each subrecipient. This desk monitoring ensures that milestones and goals set up in the RFP and Contract Goals have been met. While monitoring on site, data for all clients of the subrecipients must be provided and monitored to ensure that those numbers reported are the same as those that are monitored. This monitoring is to ensure compliance with Consolidated Plan goals and objectives and will become part of the next year's evaluation when organization reapplies for funding. This ensures that organizations are aware of the changing requirements along with their long term compliance relating to the goals and objectives that their programs and organizations are filling. Meeting these goals and objectives helps the City create long term comprehensive plans for the City and the funding we receive. Monitoring and long term planning helps the City tackle the most prevalent issues we face with in the City. Organizations and programs, including the City/County Affirmative Action Officer, are accountable for our minority business outreach and cultivation. The use of minority businesses are encouraged for our organizations that rehabilitate or construct housing. The development of MBE/WBE is part of the long term comprehensive plan for the City's future.

## **Citizen Participation Plan 91.105(d); 91.115(d)**

**Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

As per the City's Citizen Participation Plan, the CAPER and Consolidated Plan/Annual Action Plan availability is announced via the newspaper and the City's website, while additionally advertising the required public hearing. Through these means, citizens are provided multiple formats to comment on the annual report from the previous year's accomplishments. Public Hearings are scheduled with the City Council meetings after the CAPER is completed for review.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

The Neighborhood Revitalization Strategy Area (NRSA) was added in 2018 and is still carried out for current program year and future program years.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

DRAFT

**CR-50 - HOME 91.520(d)**

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Not Applicable

**Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

Not Applicable

**Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

Not Applicable

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

Not Applicable

# CR-60 - ESG 91.520(g) (ESG Recipients only)

## ESG Supplement to the CAPER in *e-snaps*

### For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

|   |                               |
|---|-------------------------------|
| Recipient Name  | SCHENECTADY                   |
| Organizational DUNS Number  | 060529898                     |
| EIN/TIN Number  | 146002430                     |
| Identify the Field Office   | BUFFALO                       |
| Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance | Schenectady City & County CoC |

##### ESG Contact Name

|             |                         |
|-------------|-------------------------|
| Prefix      | Ms                      |
| First Name  | Kristin                 |
| Middle Name | 0                       |
| Last Name   | Diotte                  |
| Suffix      | 0                       |
| Title       | Director of Development |

##### ESG Contact Address

|                  |                           |
|------------------|---------------------------|
| Street Address 1 | 105 Jay St                |
| Street Address 2 | Rm14                      |
| City             | Schenectady               |
| State            | NY                        |
| ZIP Code         | -                         |
| Phone Number     | 5183825147                |
| Extension        | 5149                      |
| Fax Number       | 0                         |
| Email Address    | kdiotte@schenectadyny.gov |

##### ESG Secondary Contact

|               |  |
|---------------|--|
| Prefix        |  |
| First Name    |  |
| Last Name     |  |
| Suffix        |  |
| Title         |  |
| Phone Number  |  |
| Extension     |  |
| Email Address |  |

## 2. Reporting Period—All Recipients Complete

**Program Year Start Date** 07/01/2019  
**Program Year End Date** 06/30/2020

### 3a. Subrecipient Form – Complete one form for each subrecipient

**Subrecipient or Contractor Name:** SCHENECTADY

**City:** Schenectady

**State:** NY

**Zip Code:** 12305, 1905

**DUNS Number:** 060529898

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Unit of Government

**ESG Subgrant or Contract Award Amount:** 13942

**Subrecipient or Contractor Name:** Bethesda House of Schenectady Inc.

**City:** Schenectady

**State:** NY

**Zip Code:** 12307, 1202

**DUNS Number:** 055852110

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 69500

**Subrecipient or Contractor Name:** Schenectady Community Action Program Inc

**City:** Schenectady

**State:** NY

**Zip Code:** 12307, 1401

**DUNS Number:** 080464613

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 62755

**Subrecipient or Contractor Name:** CARES Inc

**City:** Albany

**State:** NY

**Zip Code:** 12210, 1551

**DUNS Number:** 070919852

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 20256

**Subrecipient or Contractor Name:** Safe Inc

**City:** Schenectady

**State:** NY

**Zip Code:** 12304, 2716

**DUNS Number:** 043388912

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 33500

DRAFT

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

|   | Dollar Amount of Expenditures in Program Year |               |               |
|---|---|---------------|---------------|
|   | 2017  | 2018          | 2019          |
| Expenditures for Rental Assistance  | 0   | 0             | 0             |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 0   | 36,578        | 26,684        |
| Expenditures for Housing Relocation & Stabilization Services - Services               | 0   | 0             | 0             |
| Expenditures for Homeless Prevention under Emergency Shelter Grants Program           | 0   | 0             | 0             |
| <b>Subtotal Homelessness Prevention</b>   | <b>0</b>                                      | <b>36,578</b> | <b>26,684</b> |

Table 25 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

|   | Dollar Amount of Expenditures in Program Year |          |              |
|---|---|----------|--------------|
|   | 2017  | 2018     | 2019         |
| Expenditures for Rental Assistance  | 0   | 0        | 0            |
| Expenditures for Housing Relocation and Stabilization Services - Financial Assistance | 0   | 0        | 0            |
| Expenditures for Housing Relocation & Stabilization Services - Services               | 0   | 0        | 9,550        |
| Expenditures for Homeless Assistance under Emergency Shelter Grants Program           | 0   | 0        | 0            |
| <b>Subtotal Rapid Re-Housing</b>  | <b>0</b>                                      | <b>0</b> | <b>9,550</b> |

Table 26 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

|                    | Dollar Amount of Expenditures in Program Year |          |              |
|--------------------|---|----------|--------------|
|                    | 2017  | 2018     | 2019         |
| Essential Services | 0   | 0        | 5,010        |
| Operations         | 0   | 0        | 0            |
| Renovation         | 0   | 0        | 0            |
| Major Rehab        | 0   | 0        | 0            |
| Conversion         | 0   | 0        | 0            |
| <b>Subtotal</b>    | <b>0</b>                                      | <b>0</b> | <b>5,010</b> |

Table 27 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

|                 | Dollar Amount of Expenditures in Program Year |        |        |
|-----------------|---|--------|--------|
|                 | 2017  | 2018   | 2019   |
| Street Outreach | 4,298   | 27,067 | 13,436 |
| HMIS            | 2,050   | 20,255 | 3,631  |
| Administration  | 0   | 8,577  | 0      |

**Table 28 - Other Grant Expenditures**

**11e. Total ESG Grant Funds Expended in Program Year 2019**

| Total ESG Funds Expended | 2017  | 2018   | 2019   |
|--------------------------|-------|--------|--------|
|                          | 6,348 | 92,477 | 58,311 |

**Table 29 - Total ESG Funds Expended**

**11f. Match Source- (waiting on final reports from Subreipients)**

|                           | 2017     | 2018     | 2019     |
|---------------------------|----------|----------|----------|
| Other Non-ESG HUD Funds   | 0        | 0        | 0        |
| Other Federal Funds       | 0        | 0        | 0        |
| State Government          | 0        | 0        | 0        |
| Local Government          | 0        | 0        | 0        |
| Private Funds             | 0        | 0        | 0        |
| Other                     | 0        | 0        | 0        |
| Fees                      | 0        | 0        | 0        |
| Program Income            | 0        | 0        | 0        |
| <b>Total Match Amount</b> | <b>0</b> | <b>0</b> | <b>0</b> |

**Table 30 - Other Funds Expended on Eligible ESG Activities**

**11g. Total Funds Expended in Program year 2019-2020**

| Total Amount of Funds Expended on ESG Activities | 2017  | 2018   | 2019   |
|--|-------|--------|--------|
| 157,136  | 6,348 | 92,477 | 58,311 |

**Table 31 - Total Amount of Funds Expended on ESG Activities**